

Rec'd PCT/PTO 25 JUN 2001

FORM PTO-1390  
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.  
P107390-00006

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: June 25, 2001

U.S. APPLN. NO.  
(IF KNOWN, SEE 37 C.F.R. 1.5)

09/868619

INTERNATIONAL APPLICATION NO.  
PCT/JP01/01992

INTERNATIONAL FILING DATE  
March 13, 2001

PRIORITY DATE CLAIMED  
March 14, 2000

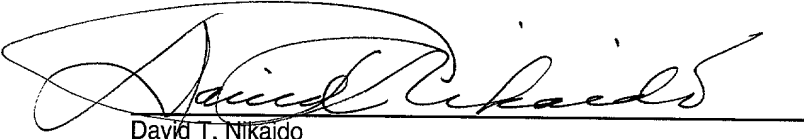
TITLE OF INVENTION: PREPAID AVAILABLE NUMBER-OF-TIMES-OF-UNITARY-USE STORAGE MEDIUM FOR MAKING USE OF  
PAY SERVICES, INITIAL VALUE-SETTING SYSTEM AND DEVICE, AND OPERATING SYSTEM

APPLICANT(S) FOR DO/EO/US: Yasuhito SONE

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
(THE BASIC FILING FEE IS ATTACHED)
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☐ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

Items 11 - 16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☒ Small Entity status is claimed.
16. ☒ Other items or information:  
CHECK NO. 320323  
Drawings (24 sheets)  
International Search Report

| U.S. APPLICATION NO. (IF KNOWN)<br>SEE 37 C.F.R. 1.59 <b>09/868619</b>  | INTERNATIONAL APPLICATION<br>NO. PCT/JP01/01992 | ATTORNEY DOCKET NO. P107390-00006<br>DATE: June 25, 2001   |            |              |              |  |  |
|---|---|--|------------|--------------|--------------|--|--|
| 17. <input type="checkbox"/> The following fees are submitted:<br><b>Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]:</b><br>Search Report has been prepared by the EPO or JPO.....\$860.00<br>International preliminary examination fee paid to USPTO<br>(37 C.F.R. 1.482).....\$690.00<br>No international preliminary examination fee paid to USPTO<br>(37 C.F.R. 1.482) but international search fee paid to USPTO<br>[37 C.F.R. 1.445(a)(2)].....\$710.00<br>Neither international preliminary examination fee<br>(37 C.F.R. 1.482) or international search fee<br>[37 C.F.R. 1.445(a)(2)] paid to USPTO.....\$1,000.00<br>International preliminary examination fee paid to USPTO<br>(37 C.F.R. 1.482) and all claims satisfied provisions of<br>PCT Article 33(2)-(4).....\$ 100.00 |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table> |            | CALCULATIONS | PTO USE ONLY |  |  |
| CALCULATIONS  | PTO USE ONLY                                    |  |            |              |              |  |  |
|   |   |  |            |              |              |  |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |   | \$ 860.00  |            |              |              |  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later<br>than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date<br>[37 C.F.R. 1.492(e)].  |   | \$   |            |              |              |  |  |
| Claims  | Number Filed                                    | Number Extra   | Rate       |              |              |  |  |
| Total Claims  | 17 - 20 =                                       | 0  | X \$ 18.00 |              |              |  |  |
| Independent Claims  | 2 - 3 =   | 0  | X \$ 80.00 |              |              |  |  |
| Multiple dependent claim(s) (if applicable)   |   | + \$270.00   | \$ 270.00  |              |              |  |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |   | \$ 1130.00   |            |              |              |  |  |
| Reduction by one-half for filing by small entity, if applicable.<br>Verified Small Entity statement must also be filed.<br>(Note 37 C.F.R. 1.9, 1.27, 1.28).  |   | \$ 565.00  |            |              |              |  |  |
| <b>SUBTOTAL =</b>   |   | \$ 565.00  |            |              |              |  |  |
| Processing fee of \$130.00 for furnishing the English translation<br>later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date<br>[37 C.F.R. 1.492(f)].  |   | \$   |            |              |              |  |  |
| <b>TOTAL NATIONAL FEE =</b>   |   | \$ 565.00  |            |              |              |  |  |
| Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment<br>must be accompanied by an appropriate cover sheet<br>(37 C.F.R. 3.28, 3.31). \$40.00 per property  |   | \$   |            |              |              |  |  |
| <b>TOTAL FEES ENCLOSED =</b>  |   | \$ 565.00  |            |              |              |  |  |
|   |   | Amount to be refunded  | \$         |              |              |  |  |
|   |   | Charged  | \$         |              |              |  |  |
| a. <input checked="" type="checkbox"/> A check in the amount of \$565.00 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. 01-2300 in the amount of \$ to cover the above fee.<br>A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to<br>Deposit Account No. 01-2300.  |   |  |            |              |              |  |  |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive<br>[37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.  |   |  |            |              |              |  |  |
| SEND ALL CORRESPONDENCE TO:<br><b>Customer No. 004372</b><br><b>Arent Fox Kintner Plotkin &amp; Kahn</b><br><b>1050 Connecticut Avenue, N.W.</b><br><b>Suite 600</b><br><b>Washington, D.C. 20036-5339</b><br><b>Tel: (202) 857-6000 Fax: (202) 638-4810</b>  |   |  |            |              |              |  |  |
| <br>David T. Nikaido<br>Reg. No. 22,663   |   |  |            |              |              |  |  |